

Appendix 2

Summary of Corporate Risk Register September Review 2023

Corporate Risk Register

The Council's Corporate Risk Register (CRR) contains the Council's most serious risks. It is owned by Cabinet and the Senior Leadership Team (SLT).

We define our risks using a scale of likelihood of the risk occurring and the impact of the risk occurring. We also determine whether the risk is in line with our risk appetite. Risk appetite is the level of risk we are prepared to tolerate or accept in pursuit of our long term, strategic objectives.

The Risk Management Strategy is available [on our website](#).

Risk Score	Risk Severity	Escalation Criteria
C5, D4, D5, E4, E5	Minor (Green)	Risk easily managed locally and no need to involve management
A5, B4, B5, C3, C4, D3, E2, E3	Moderate (Yellow)	Risk containable at service level and senior management and SLT may need to be kept informed
A3, A4, B3, C2, D1, D2, E1	Major (Orange)	Intervention by SLT with Cabinet involvement
A1, A2, B1, B2, C1	Critical (Red)	Significant SLT and Cabinet intervention

Summary of current corporate risks and score (September 2023)

Following this September 2023 review we now have 13 corporate risks on our register. 54% (7) are outside of our risk appetite.

CORPORATE RISK REGISTER – SUMMARY SEPTEMBER 2023 REVIEW

Risk Title	Inherent Risk Score	Residual Risk Score	September 2023 Review	Trend since last report	Within Risk Appetite
01. Safeguarding incident	A1 Critical (Red) Risk Almost Certain and Very High Impact	A1 Critical (Red) Risk: Almost Certain and Very High Impact	Risk reviewed and updated	Same	No
11. Ineffective response to a serious event	A2 Critical (Red) Risk: Almost Certain and High Impact	C3 Moderate (Yellow) Risk: Possible and Medium Impact	Risk title amended to :The risk of an unexpected or unplanned serious event occurring. Risk reviewed and updated.	Same	Yes

Risk Title	Inherent Risk Score	Residual Risk Score	September 2023 Review	Trend since last report	Within Risk Appetite
12. Negative reports from external regulators	C2 Major (Orange) Risk: Possible and High Impact	C3 Moderate (Yellow) Risk: Possible and Medium Impact	Risk reviewed and updated	Same	Yes
14. Health and Safety	C2 Major (Orange) Risk: Possible and High Impact	E2 Moderate (Yellow) Risk: Rare and High Impact	Risk reviewed and updated.	Same	Yes
21. Strategic misalignment between the Health Board and the Council	A1 Critical (Red) Risk: Almost Certain and Very High Impact	C2 Major (Orange) Risk: Possible and High Impact	Risk title amended to: The risk that the integration of health and social care services between BCUHB and DCC does not develop further. Risk reviewed and updated.	Same	No

Risk Title	Inherent Risk Score	Residual Risk Score	September 2023 Review	Trend since last report	Within Risk Appetite
31. Fraud and corruption	C2 Major (Orange) Risk: Possible and High Impact	E2 Moderate (Yellow) Risk: Rare and High Impact	Risk reviewed and updated	Same	Yes
34. Demand for specialist care cannot be met locally	A2 Critical (Red) Risk: Almost Certain and High Impact	B2 Critical (Red) Risk: Likely and High Impact	Risk title amended to: The risk that there is insufficient regulated care. Risk reviewed and updated.	Same	No
37. Partnerships and inequalities deprivation	B1 Critical (Red) Risk: Likely and Very High Impact	C2 Major (Orange) Risk: Possible and High Impact	Risk title amended to: The risk that partners don't have the resources, matching priorities or commitment to support delivery of shared plans and priorities and rewritten.	Same	Yes

Risk Title	Inherent Risk Score	Residual Risk Score	September 2023 Review	Trend since last report	Within Risk Appetite
45. Net Carbon Zero and Ecologically positive	A2 Critical (Red) Risk: Almost Certain and High Impact	C2 Major (Orange) Risk: Possible and High Impact	Risk title amended to: The risk to the Councils reputation of not responding swiftly or sufficiently enough in making our contribution to tackle climate change and bring about natures recovery following the declaration of a Climate Change and Ecological Emergency in July 2019 and rewritten.	Same	No
48. Recruitment and retention	B3 Major (Orange) Risk: Likely and Medium Impact	B3 Major (Orange) Risk: Likely and Medium Impact	Risk title amended to: The risk that recruitment and retention issues leads to a loss of expertise and capacity resulting in poor or inadequate performance. Risk reviewed and updated.	Same	Yes

Risk Title	Inherent Risk Score	Residual Risk Score	September 2023 Review	Trend since last report	Within Risk Appetite
50. Placements for Children Looked After	B2 Critical (Red) Risk: Likely and High Impact	B2 Critical (Red) Risk: Likely and High Impact	Risk reviewed and updated. The Residual Risk Score increased from C3 – Moderate Risk: Possible and Medium Impact to B2 – Critical Risk: Highly Likely and High Impact. Now a Critical risk. Risk Appetite suggests that this should be at most a moderate risk.	Worse	No
51. Economic and financial environment worsens and results in the inability to set a balanced budget.	B1 – Critical Risk: Likely / Very High Impact	C1 – Critical Risk: Possible / Very High Impact	New Risk: Incorporates previous Risks 06 Economic environment worsens (council), 27: Budgetary decisions are not taken or implemented quickly enough and 33: Cost of care is outstripping resource.	New	No

Risk Title	Inherent Risk Score	Residual Risk Score	September 2023 Review	Trend since last report	Within Risk Appetite
52. Insufficient funding leads to withdrawal or scaling back of services, even when a balanced budget is delivered	B1 – Critical Risk: Likely / Very High Impact	B1 – Critical Risk: Likely / Very High Impact	New Risk: Insufficient funding leads to withdrawal or scaling back of services, even when a balanced budget is delivered	New	No