

Report to	Performance Scrutiny Committee
Date of meeting	27 April 2023
Lead Member / Officer	Councillor Julie Matthews, Lead Member for Corporate Strategy, Policy and Equalities Nicola Kneale, Interim Head of Business Improvement & Modernisation
Head of Service	Nicola Kneale, Interim Head of Business Improvement & Modernisation
Report author	Heidi Barton-Price, Strategic Planning and Performance Officer
Title	Corporate Risk Register Review, February 2023

1. What is the report about?

1.1. An update on the February 2023 review of the Corporate Risk Register.

2. What is the reason for making this report?

2.1. To provide information regarding the latest review of the Corporate Risk Register.

3. What are the Recommendations?

3.1. That Performance Scrutiny Committee considers and comments on the amendments to the Corporate Risk Register (Appendix 2), including the status of each risk against our Risk Appetite Statement (Appendix 3), and notes the summary document that may be used by Members and officers that incorporates colour and trend status (Appendix 1).

4. Report details

- 4.1. The Corporate Risk Register is developed and owned by the Senior Leadership Team (SLT) alongside Cabinet. It is reviewed twice yearly by Cabinet at Cabinet Briefing.
- 4.2. Following each review, the revised register is presented to Performance Scrutiny Committee, and is shared with the Governance and Audit Committee.
- 4.3. The last review was undertaken in September 2022. [Papers submitted to Performance Scrutiny Committee are available online.](#)
- 4.4. The Council's risk appetite statement has been applied to the discussions that we have had with risk owners, and our risk exposure (based on the score) is analysed within the Corporate Risk Register (Appendix 2).
- 4.5. During this latest review, there have been a number of updates around risk owners, titles, descriptions and actions. There have been no changes to the risk scores. These changes have been made to the risk register and are specified at the start of each risk in Appendix 2. In summary, the most significant changes include:
- 4.6. Risk 01: The risk of a serious safeguarding or practice error, where the Council has responsibility, resulting in serious harm or death: Councillor Julie Matthews has been identified as an additional Lead Member, following discussion at Cabinet Briefing about the links between this risk and recruitment.
- 4.7. Risk 21: The risk that effective partnerships and interfaces between BCU Health Board and Denbighshire County Council (DCC) do not develop, leading to significant misalignment between the strategic and operational direction of BCU and DCC: Councillor Gill German has been added as a Lead Member for this risk. The risk score has been reviewed following BCUHB being placed back in special measures and has not changed at this present time.
- 4.8. Risk 36: The risk that the economic and financial environment worsens beyond current expectations and has a detrimental impact on local businesses: This risk has been reviewed and the title updated to focus on impact on local businesses. Risk 37 is focussed on economic hardship for the local community

and our response as one of many partners working to reduce inequalities and deprivation.

- 4.9. Risk 50: The risk that Welsh Government's commitment to eliminate profit from the care of Looked After Children results in an unstable or unsuitable supply of placements. The risk has changed to read from Looked After Children to Children Looked After.
- 4.10. Owners have changed for risks 12, 37, and 50.
- 4.11. Risk appetite: Risks 1, 6, 13, 18, 21, 27, 33, 34, 36, 44, and 45, are currently inconsistent with the Council's Risk Appetite Statement (Appendix 3). We have a total of 20 risks and 11 are beyond our risk appetite (55%).
- 4.12. Following each review, the revised register is presented to Performance Scrutiny Committee, and is shared with the Governance and Audit Committee.

5. How does the decision contribute to the Corporate Plan 2022 to 2027: The Denbighshire We Want?

- 5.1 The purpose of the Corporate Risk Register is to identify the potential future events that may have a detrimental impact on the Council's ability to deliver its objectives, including its corporate priorities. The identified controls and actions are therefore crucial to the delivery of the Corporate Plan 2022 to 2027.

6. What will it cost and how will it affect other services?

- 6.1. The cost of developing, monitoring and reviewing the Corporate Risk Register is absorbed within existing budgets.

7. What are the main conclusions of the Well-being Impact Assessment?

- 7.1. This Corporate Risk Register documents identified risks and mitigating actions. The process of developing and reviewing the document itself does not impact adversely on any of the well-being goals. However, any new process, strategy or policy arising as a result of a mitigating action will probably require a well-being impact assessment.

8. What consultations have been carried out with Scrutiny and others?

8.1. Individual discussions have been held with Risk Owners (Chief Executive Officer, Corporate Directors, relevant Heads of Service), also including input from officers leading on further actions for instance.

8.2. The register is then discussed by Corporate Executive Team (CET), SLT and Cabinet, at Cabinet Briefing, to agree and / or make further amendments.

8.3. The updated register is then considered by Performance Scrutiny Committee and shared with Governance and Audit Committee.

9. Chief Finance Officer Statement

9.1. There are no financial implications arising from developing, monitoring and reviewing the Corporate Risk Register.

10. What risks are there and is there anything we can do to reduce them?

10.1. There are no risks associated with this review.

11. Power to make the decision

11.1. Local Government Act 2000.

11.2. The Council's Constitution outlines the roles and responsibilities of senior officers and stipulates that clear procedures and processes must be in place to manage risk effectively.

11.3. Section 21 of the Local Government Act 2000 and Section 7 of the Council's Constitution sets out Scrutiny's powers in relation to examining decisions made or actions taken in connection with the discharge of any of the Council's functions whether by Cabinet or another part of the Council