

Appendix 2: Amendments to the Corporate Risk Register

Risks – new

Risk Number	Title	Inherent Risk	Residual Risk	Risk Owners	Summary
00043	The risk that the council does not have the funds or resources to meet its statutory obligations under the Additional Learning Needs and Education Tribunal (Wales) Act 2018	B2	D3	Nicola Stubbins, Huw Hilditch-Roberts and Cllr Bobby Feeley	<ul style="list-style-type: none"> This risk has been added to the register following escalation from Education and Children’s Services’ risk register (it affects the whole Council). SLT and Cabinet accepted its inclusion in the Corporate Risk Register.
00044	The risk of Ash Dieback Disease (ADB) in Denbighshire leading to serious disruption, budgetary impacts, risks to infrastructure and the increased potential for deaths and injuries	A2	A3	Tony Ward, Cllr Brian Jones and Cllr Tony Thomas	<ul style="list-style-type: none"> This is a live risk in the Highways and Environmental Services' Risk Register but due to the score of A3, this risk meets our criteria to be managed as a 'major' risk to be managed by SLT and Cabinet. SLT and Cabinet accepted its inclusion in the Corporate Risk Register.

Risks - changed

Risk Number	Title	Inherent Risk	Residual Risk	Risk Owners	Summary
00001	The risk of a serious safeguarding error where the council has	B2	D2	Nicola Stubbins, Cllr Bobby Feeley, Cllr Huw Hilditch-	<ul style="list-style-type: none"> Member lead changed to include Cllr Bobby Feeley and Cllr Huw Hilditch-Roberts as well as Cllr Mark Young to reflect adjusted portfolios.

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	responsibility, resulting in serious harm or death			Roberts and Cllr Mark Young	<ul style="list-style-type: none"> • Actions have been updated.
00006	The risk that the economic and financial environment worsens beyond current expectations, leading to additional demand on services and reduced income.	C1	C2	Judith Greenhalgh and Cllr Julian Thompson-Hill	<ul style="list-style-type: none"> • Amended the residual risk from C3 to C2. • Description text added: "The Section 151 Officer is responsible for producing a balanced budget." • Description text added: "There are significant pressures associated with social care, waste budgets, benefits and inflationary increases in pay and pensions. These pressures are all monitored closely and regularly by senior managers, including the Section 151 Officer." • Further action deadline extended until 31 October 2019.
00011	The risk of an ineffective response to a serious unexpected event, such as severe weather, contamination, public safety (including cyber-attack) or a public health event.	D2	E2	Graham Boase and Cllr Richard Mainon	<ul style="list-style-type: none"> • Member lead changed from Cllr Mark Young to Cllr Richard Mainon to reflect adjusted portfolios. • Wild fires added to the description box. • Updated controls to include: "We have set up a WhatsApp Business Continuity communication network, which has been tested in an internal DCC Business Continuity exercise (April 2019). We are due to take part in a joint regional Business Continuity Exercise with Gwynedd in February 2020." • Further action deadline extended until April 2020.
00014	The risk of a health & safety incident resulting in serious injury or the loss of life. (Where H&S is referred to, this incorporates fire safety)	C2	E2	Graham Boase and Cllr Julian Thompson-Hill	<ul style="list-style-type: none"> • Control regarding strategic lead amended.

Risk Number	Title	Inherent Risk	Residual Risk	Risk Owners	Summary
00016	The risk that the impact of welfare reform (Universal Credit) is more significant than anticipated by the council.	B2	D3	Steve Gadd, Cllr Bobby Feeley and Cllr Julian Thompson-Hill	<ul style="list-style-type: none"> Member lead changed from Cllr Mark Young to Cllr Bobby Feeley and Cllr Julian Thompson-Hill to reflect adjusted portfolios. Officer lead changed from Richard Weigh to Steve Gadd. Control text added: "The roll out plan is risk averse and limits the risk that the impact will be more significant than expected, but the approach (determined by Westminster) could change. The intended approach though is that by the time all other benefits are phased out, existing claimants will have naturally become eligible for Universal Credit as a result of a change in their circumstances."
00018	The risk that programme and project benefits are not fully realised.	B2	D2	Judith Greenhalgh and Cllr Julian Thompson-Hill	<ul style="list-style-type: none"> The description has been amended: Digital Futures has been replaced with CSSR. An update on the outcome of the tranche review has been included as a control: "The tranche review resulted in a number of recommendations to refine the programme and its projects."
00021	The risk that effective partnerships and interfaces between BCU Health Board and Denbighshire County Council (DCC) do not develop, leading to significant misalignment between the strategic and operational direction of BCU and DCC	A1	C2	Nicola Stubbins and Cllr Bobby Feeley	<ul style="list-style-type: none"> The control has been amended: "Denbighshire Joint Locality Forum" replaced with "Central Area Integrated Services Board in place"; and "Locality structure has bedded in" replaced with "Two community resources teams have been established".

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00027	The risk that even if the settlement is as anticipated, decisions that are necessary to identify and deliver the savings programme and enable a balanced budget are not taken or implemented quickly enough	B1	C2	Judith Greenhalgh and Cllr Julian Thompson-Hill	<ul style="list-style-type: none"> • A new control has been included: “A workshop involving Cabinet and SLT will take place in September 2019 to discuss the principles behind the budget and services budgets, and to identify areas where there is political will to make savings.” • A spelling mistake has been corrected: ‘gap’ not ‘cap’, and reference to the “Budget Board and CET” has been amended to the “Reshaping the Council Budget Board and SLT”. • Member lead changed from Cllr Huw Evans to Cllr Julian Thompson-Hill.
00030	The risk that appropriate capacity and skills to sustain service and corporate performance is not available	C3	D3	Judith Greenhalgh and Cllr Julian Thompson-Hill	<ul style="list-style-type: none"> • A new control has been included regarding the senior leadership team restructure that will be effective from September 2019. • Member lead changed from Cllr Huw Evans to Cllr Julian Thompson-Hill.
00031	The risk of fraud and corruption resulting in financial and reputational loss and possibly impacting on service delivery.	C2	E2	Judith Greenhalgh and Cllr Julian Thompson-Hill	<ul style="list-style-type: none"> • Inherent risk score reduced from B2 to C2. • Description updated with accurate data about staffing (2,362 excluding agency and supply staff as at the time of the last Staff Survey (2018/19)) and spend (for 2018-19 the gross revenue budget was £305.8m).
00033	The risk that the cost of care is outstripping the Council’s resource	B1	C2	Nicola Stubbins and Cllr Bobby Feeley	<ul style="list-style-type: none"> • Description amended: “National Living Wage” amended to “the cost of domiciliary and residential care”. • Control amended: “The social care budget is consistently overspent by £1m+ per year, which up until now has been mitigated somewhat

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					<p>by the use of reserves of £0.5m pa towards the overspend. However, the reserves are nearly depleted.”</p> <ul style="list-style-type: none"> Action 00074 closed. The tender process has left us in a position whereby we would have insufficient domiciliary care provision in some of the Community Resource Team patches, particularly in the south of the county. Following careful consideration, we have come to the conclusion that we are unable to award any patches at this time and will, therefore, continue to allocate packages of care under the Regional Agreement.
00034	The risk that demand for specialist care cannot be met locally	B2	C2	Nicola Stubbins, Cllr Bobby Feeley and Cllr Huw Hilditch-Roberts	<ul style="list-style-type: none"> Control amended to include reference to the North Wales Transformation Programme.
00035	The risk that the return on investment that Denbighshire receives from the Regional Growth Deal is disproportionate	C2	C2	Graham Boase and Cllr Hugh Evans	<ul style="list-style-type: none"> Inherent and residual risks amended to C2.
00036	The risk that any negative impacts of leaving the European Union cannot be mitigated by the council	B1	B1	Judith Greenhalgh and Cllr Hugh Evans	<ul style="list-style-type: none"> Description, impact/consequences and controls have been updated to reflect the latest position.

Risks – removed

Risk Number	Title	Inherent Risk	Residual Risk	Risk Owners
00042	The risk that the compensation for the compulsory purchase of the former North Wales Hospital land deal exceeds the value of the funds in the project's budget	D4	D5	Graham Boase and Cllr Hugh Evans

Risks - no change

Risk Number	Title	Inherent Risk	Residual Risk	Risk Owners
00012	The risk of a significantly negative report(s) from external regulators.	C2	D3	Judith Greenhalgh and Cllr Hugh Evans
00013	The risk of significant liabilities resulting from alternative models of service delivery	B2	E2	Judith Greenhalgh and Cllr Julian Thompson-Hill
00037	The risk that partners don't have the resources, matching priorities or commitment to support delivery of shared plans and priorities	B1	C2	Judith Greenhalgh and Cllr Hugh Evans